

Notice of References Cited

Application/Control No.

09/491,388

Applicant(s)/Patent Under
Reexamination
CADOUX

Examiner

Jeffrey C Pwu

Art Unit

2164

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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	B	US-6,058,377	05-2000	Traub et al.	705/35
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	"Conditional price trends in the aftermarket for initial public offerings", Affleck-Graves et al, Financial Management v25n4, pp:25-40 Winter 1996
	V	"The opening price performance of initial public offerings of common stock", Barry et al., Financial Management, v22, n1, p54 spring 1993.
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.